

10/23/01



JC525 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032

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JC971 U.S. PRO
10/05/0020

10/23/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 1973.PSA

First Inventor: Dawn E. Smith

Title: Microsphere Adhesive Formulations

Express Mail Label No.: EL569397355US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 18] (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets]
- Oath or Declaration [Total Pages]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)**
Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a Preliminary Amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-Part (CIP) of prior application No. _____ / _____

Prior application information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an Oath or Declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying Continuation or Divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Cynthia L. Foulke <i>Cynthia L. Foulke</i>				
Address	NATIONAL STARCH AND CHEMICAL COMPANY 10 Finderne Avenue				
City	Bridgewater	State	New Jersey	Zip Code	08807-0500
Country	U.S.A.	Telephone:	908-685-7483	Fax:	908-707-3706
Name (Print/Type)	Cynthia L. Foulke		Registration No. (Attorney/Agent)	32,364	
Signature	<i>Cynthia L. Foulke</i>		Date	10/23/01	

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT: (\$1) 740.00

Complete if Known

Application Number

Filing Date October 23, 2001

First Named Inventor Dawn E. Smith

Examiner Name

Group Art Unit

Attorney Docket No 1973.PSA

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account: 14-0455
Number:

Deposit Account Name:

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	740.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				740.00	

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
14	-00** =	0 < 0 =	0
Independent Claims 2	-0** =	0 < 0 =	0
Multiple Dependent		=	

Large Entity	Small Entity	Fee Description		
Fee Code (\$)	Fee Code (\$)			
103	13	203	3	Claims in excess of 20
103	80	202	40	Independent claims in excess of 20
104	270	204	105	Multiple dependent claim - not paid
108	80	208	40	** Passes independent claims over original patent
110	13	210	3	** Passes claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)			0	

For number previously paid, subtract. For Periodicals see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid	
Fee Code (\$)	Fee Code (\$)			
105	100	205	55	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet
139	100	139	100	Non-English specification
147	2,520	147	2,520	Filing a request for ex parte reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,340*	113	1,340*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	390	216	195	Extension for reply within second month
117	390	217	445	Extension for reply within third month
118	1,390	218	695	Extension for reply within fourth month
128	1,390	228	945	Extension for reply within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
108	510	108	510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1240	241	620	Petition to revive - unintentional
142	1240	242	620	Utility issue fee or resuee
40	440	240	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	132	130	Petitions to the Commissioner
100	50	100	50	Processing fee under 37 CFR 1.17(c)
126	133	126	130	Submission of Information Disclosure Stmt
531	40	531	40	Recording each patent assignment per property (times number of properties)
46	70	246	655	Filing a submission after final rejection 37 CFR § 1.16(a),
48	710	248	655	For each fact and reason presented examined 37 CFR § 1.29(c)
73	70	273	655	Petitions for Continued Examination (PCE)
169	900	169	900	Request for expedited examination or a design search
Other fee(s) specify:				
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)	

SUBMITTED BY

Name, Print/Type	Cynthia L. Foulke	Registration No. Attorney-in-fact	32,364	Telephone 908-685-7483
Signature	October 23, 2001			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.